Quantum Chemistry

and Spectroscopy

Thomas Engel



PHYSICAL CHEMISTRY **Quantum Chemistry** and Spectroscopy

FOURTH EDITION

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To Walter and Juliane, my first teachers, and to Gloria, Alex, Gabrielle, and Amelie.

Brief Contents QUANTUM CHEMISTRY AND SPECTROSCOPY

- **1** From Classical to Quantum Mechanics 19
- **2** The Schrödinger Equation 45
- **3** The Quantum-Mechanical Postulates 67
- 4 Applying Quantum-Mechanical Principles to Simple Systems 77
- **11** Quantum States for Many-Electron Atoms and Atomic Spectroscopy 257
- **12** The Chemical Bond in Diatomic Molecules 285
- **13** Molecular Structure and Energy Levels for Polyatomic Molecules 315
- 5 Applying the Particle in the Box Model to Real-World Topics 95
- 6 Commuting and Noncommuting Operators and the Surprising Consequences of Entanglement 119
- 7 A Quantum-Mechanical Model for the Vibration and Rotation of Molecules 143
- 8 Vibrational and Rotational Spectroscopy of Diatomic Molecules 171
- **9** The Hydrogen Atom 209

10 Many-Electron Atoms 233

- **14** Electronic Spectroscopy 349
- **15** Computational Chemistry 377
- **16** Molecular Symmetry and an Introduction to Group Theory 439
- **17** Nuclear Magnetic Resonance Spectroscopy 467

APPENDIX A Point Group Character Tables 513 Credits 521 Index 523

Detailed Contents

QUANTUM CHEMISTRY AND SPECTROSCOPY

Preface ix

- Math Essential 1 Units, Significant Figures, and Solving End of Chapter Problems
- Math Essential 2 Differentiation and Integration
- Math Essential 3 Partial Derivatives
- Math Essential 4 Infinite Series
- **1** From Classical to Quantum

- 3.2 Every Observable Has a Corresponding Operator 69
- 3.3 The Result of an Individual Measurement 69
- 3.4 The Expectation Value 70
- 3.5 The Evolution in Time of a Quantum-Mechanical System 73
- 4 Applying Quantum-Mechanical Principles to Simple Systems 77

Mechanics 19

- 1.1 Why Study Quantum Mechanics? 19
- 1.2 Quantum Mechanics Arose out of the Interplay of Experiments and Theory 20
- 1.3 Blackbody Radiation 21
- 1.4 The Photoelectric Effect 22
- 1.5 Particles Exhibit Wave-Like Behavior 24
- 1.6 Diffraction by a Double Slit 26
- Atomic Spectra and the Bohr Model of the Hydrogen Atom 29

Math Essential 5 Differential Equations

Math Essential 6 Complex Numbers and Functions

2 The Schrödinger Equation 45

- 2.1 What Determines If a System Needs to Be Described Using Quantum Mechanics? 45
- 2.2 Classical Waves and the Nondispersive Wave Equation 49

- 4.1 The Free Particle 77
- 4.2 The Case of the Particle in a One-Dimensional Box 79
- 4.3 Two- and Three-Dimensional Boxes 83
- 4.4 Using the Postulates to Understand the Particle in the Box and Vice Versa 84

5 Applying the Particle in the Box Model to Real-World Topics 95

- 5.1 The Particle in the Finite Depth Box 95
- 5.2 Differences in Overlap between Core and Valence Electrons 96
- 5.3 Pi Electrons in Conjugated Molecules Can Be Treated as Moving Freely in a Box 97
- 5.4 Understanding Conductors, Insulators, and Semiconductors Using the Particle in a Box Model 98
- 5.5 Traveling Waves and Potential Energy Barriers 100
- 5.6 Tunneling through a Barrier 103
- 2.3 Quantum-Mechanical Waves and the Schrödinger Equation 54
- Solving the Schrödinger Equation: Operators,
 Observables, Eigenfunctions, and Eigenvalues 55
- 2.5 The Eigenfunctions of a Quantum-Mechanical Operator Are Orthogonal 57
- 2.6 The Eigenfunctions of a Quantum-Mechanical Operator Form a Complete Set 59
- 2.7 Summarizing the New Concepts 61

3 The Quantum-Mechanical Postulates 67

3.1 The Physical Meaning Associated with the Wave Function is Probability 67

- 5.7 The Scanning Tunneling Microscope and the Atomic Force Microscope 104
- 5.8 Tunneling in Chemical Reactions 109
- 5.9 Quantum Wells and Quantum Dots 110

6 Commuting and Noncommuting Operators and the Surprising Consequences of Entanglement 119

- 6.1 Commutation Relations 119
- 6.2 The Stern–Gerlach Experiment 121
- 6.3 The Heisenberg Uncertainty Principle 124

v

vi CONTENTS

- 6.4 The Heisenberg Uncertainty Principle Expressed in Terms of Standard Deviations 128
- 6.5 A Thought Experiment Using a Particle in a Three-Dimensional Box 130
- 6.6 Entangled States, Teleportation, and Quantum Computers 132

Math Essential 7 Vectors

Math Essential 8 Polar and Spherical Coordinates

- 7 A Quantum-Mechanical Model for the Vibration and Rotation of Molecules 143
 - 7.1 The Classical Harmonic Oscillator 143
 - 7.2 Angular Motion and the Classical Rigid Rotor 147
 - 7.3 The Quantum-Mechanical Harmonic Oscillator 149

- 9.5 The Radial Probability Distribution Function 219
- 9.6 Validity of the Shell Model of an Atom 224

Math Essential 9 Working with Determinants

10 Many-Electron Atoms 233

- 10.1 Helium: The Smallest Many-Electron Atom 233
- 10.2 Introducing Electron Spin 235
- 10.3 Wave Functions Must Reflect the Indistinguishability of Electrons 236
- 10.4 Using the Variational Method to Solve the Schrödinger Equation 239
- 10.5 The Hartree–Fock Self-Consistent Field Model 240
- 10.6 Understanding Trends in the Periodic Table from Hartree–Fock Calculations 247

- 7.4 Quantum-Mechanical Rotation in Two Dimensions 154
- 7.5 Quantum-Mechanical Rotation in Three Dimensions 157
- 7.6 Quantization of Angular Momentum 159
- 7.7 Spherical Harmonic Functions 161
- 7.8 Spatial Quantization 164
- 8 Vibrational and Rotational Spectroscopy of Diatomic Molecules 171
 - 8.1 An Introduction to Spectroscopy 171
 - 8.2 Absorption, Spontaneous Emission, and Stimulated Emission 174
 - 8.3 An Introduction to Vibrational Spectroscopy 175
 - 8.4 The Origin of Selection Rules 178
 - 8.5 Infrared Absorption Spectroscopy 180

11 Quantum States for Many-Electron Atoms and Atomic Spectroscopy 257

- 11.1 Good Quantum Numbers, Terms, Levels, and States 257
- 11.2 The Energy of a Configuration Depends on Both Orbital and Spin Angular Momentum 259
- 11.3 Spin–Orbit Coupling Splits a Term into Levels 266
- 11.4 The Essentials of Atomic Spectroscopy 267
- 11.5 Analytical Techniques Based on Atomic Spectroscopy 269
- 11.6 The Doppler Effect 272
- 11.7 The Helium–Neon Laser 273
- 11.8 Auger Electron Spectroscopy and X-Ray Photoelectron Spectroscopy 277

12 The Chemical Bond in Diatomic Molecules 285

- 8.6 Rotational Spectroscopy 184
- 8.7 Fourier Transform Infrared Spectroscopy 190
- 8.8 Raman Spectroscopy 194
- 8.9 How Does the Transition Rate between StatesDepend on Frequency? 196

9 The Hydrogen Atom 209

- 9.1 Formulating the Schrödinger Equation 209
- 9.2 Solving the Schrödinger Equation for the Hydrogen Atom 210
- 9.3 Eigenvalues and Eigenfunctions for the Total Energy 211
- 9.4 Hydrogen Atom Orbitals 217

- 12.1 Generating Molecular Orbitals from Atomic Orbitals 285
- 12.2 The Simplest One-Electron Molecule: H_2^+ 289
- 12.3 Energy Corresponding to the H₂⁺ Molecular Wave Functions ψ_g and ψ_u 291
- 12.4 A Closer Look at the H₂⁺ Molecular Wave Functions ψ_g and ψ_u 294
- 12.5 Homonuclear Diatomic Molecules 297
- 12.6 Electronic Structure of Many-Electron Molecules 299
- 12.7 Bond Order, Bond Energy, and Bond Length 302
- 12.8 Heteronuclear Diatomic Molecules 304
- 12.9 The Molecular Electrostatic Potential 307

13 Molecular Structure and Energy Levels for Polyatomic Molecules 315

- 13.1 Lewis Structures and the VSEPR Model 315
- 13.2 Describing Localized Bonds Using Hybridization for Methane, Ethene, and Ethyne 318
- 13.3 Constructing Hybrid Orbitals for Nonequivalent Ligands 321
- 13.4 Using Hybridization to Describe Chemical Bonding 324
- 13.5 Predicting Molecular Structure UsingQualitative Molecular Orbital Theory 326
- 13.6 How Different Are Localized and Delocalized Bonding Models? 329
- 13.7 Molecular Structure and Energy Levels from Computational Chemistry 332

- 15.3 Hartree–Fock Molecular Orbital Theory: A Direct Descendant of the Schrödinger Equation 382
- 15.4 Properties of Limiting Hartree–Fock Models 384
- 15.5 Theoretical Models and Theoretical Model Chemistry 389
- 15.6 Moving Beyond Hartree–Fock Theory 390
- 15.7 Gaussian Basis Sets 395
- 15.8 Selection of a Theoretical Model 398
- 15.9 Graphical Models 412
- 15.10 Conclusion 420

Math Essential 10 Working with Matrices 16 Molecular Symmetry and an Introduction to Group Theory 439

16.1 Symmetry Elements, Symmetry Operations,

- 13.8 Qualitative Molecular Orbital Theory for Conjugated and Aromatic Molecules: The Hückel Model 334
- 13.9 From Molecules to Solids 340
- 13.10 Making Semiconductors Conductive at Room Temperature 342

14 Electronic Spectroscopy 349

- 14.1 The Energy of Electronic Transitions 349
- 14.2 Molecular Term Symbols 350
- 14.3 Transitions between Electronic States of Diatomic Molecules 353
- 14.4 The Vibrational Fine Structure of Electronic Transitions in Diatomic Molecules 354
- 14.5 UV-Visible Light Absorption in Polyatomic Molecules 356
- 14.6 Transitions among the Ground and Excited States 359
- 14.7 Singlet–Singlet Transitions: Absorption and Fluorescence 360

- and Point Groups 439
- 16.2 Assigning Molecules to Point Groups 441
- 16.3 The H₂O Molecule and the C_{2v} Point Group 443
- 16.4 Representations of Symmetry Operators, Bases for Representations, and the Character Table 448
- 16.5 The Dimension of a Representation 450
- 16.6 Using the C_{2v} Representations to Construct Molecular Orbitals for H₂O 454
- 16.7 Symmetries of the Normal Modes of Vibration of Molecules 456
- 16.8 Selection Rules and Infrared versus Raman Activity 460
- 16.9 Using the Projection Operator Method to Generate MO_s That Are Bases for Irreducible Representations 461

17 Nuclear Magnetic Resonance Spectroscopy 467

- 17.1 Intrinsic Nuclear Angular Momentum and Magnetic Moment 467
- 14.8 Intersystem Crossing and Phosphorescence 361
- 14.9 Fluorescence Spectroscopy and Analytical Chemistry 362
- 14.10 Ultraviolet Photoelectron Spectroscopy 363
- 14.11 Single-Molecule Spectroscopy 365
- 14.12 Fluorescent Resonance Energy Transfer 366
- 14.13 Linear and Circular Dichroism 368
- 14.14 Assigning + and to Σ Terms of Diatomic Molecules 371

15 Computational Chemistry 377

- 15.1 The Promise of Computational Chemistry 377
- 15.2 Potential Energy Surfaces 378

- 17.2 The Nuclear Zeeman Effect 470
- 17.3 The Chemical Shift 473
- 17.4 Spin–Spin Coupling and Multiplet Splittings 476
- 17.5 Spin Dynamics 484
- 17.6 Pulsed NMR Spectroscopy 491
- 17.7 Two-Dimensional NMR 498
- 17.8 Solid-State NMR 503
- 17.9 Dynamic Nuclear Polarization 505
- 17.10 Magnetic Resonance Imaging 507

APPENDIX A Point Group Character Tables 513 Credits 521 Index 523

About the Author



THOMAS ENGEL taught chemistry at the University of Washington for more than 20 years, where he is currently professor emeritus of chemistry. Professor Engel received his bachelor's and master's degrees in chemistry from the Johns Hopkins University and his Ph.D. in chemistry from the University of Chicago. He then spent 11 years as a researcher in Germany and Switzerland, during which time he received the Dr. rer. nat. habil. degree from the Ludwig Maximilians University in Munich. In 1980, he left the IBM research laboratory in Zurich to become a faculty member at the University of Washington.

Professor Engel has published more than 80 articles and book chapters in the area of surface chemistry. He has received the Surface Chemistry or Colloids Award from the American Chemical Society and a Senior Humboldt Research Award from the Alexander von Humboldt Foundation. Other than this textbook, his current primary science interests are in energy policy and energy conservation. He serves on the citizen's advisory board of his local electrical utility, and his energy-efficient house could be heated in winter using only a hand-held hair dryer. He currently drives a hybrid vehicle and plans to transition to an electric vehicle soon to further reduce his carbon footprint.

Preface

The fourth edition of *Quantum Chemistry and Spectroscopy* includes many changes to the presentation and content at both a global and chapter level. These updates have been made to enhance the student learning experience and update the discussion of research areas. At the global level, changes that readers will see throughout the textbook include:

- **Review of relevant mathematics skills.** One of the primary reasons that students experience physical chemistry as a challenging course is that they find it difficult to transfer skills previously acquired in a mathematics course to their physical chemistry course. To address this issue, contents of the third edition Math Supplement have been expanded and split into 11 two- to five-page Math Essentials, which are inserted at appropriate places throughout this book, as well as in the companion volume *Thermodynamics, Statistical Thermodynamics, and Kinetics*, just before the math skills are required. Our intent in doing so is to provide "just-in-time" math help and to enable students to refresh math skills specifically needed in the following chapter.
- Concept and Connection. A new Concept and Connection feature has been added to each chapter to present students with a quick visual summary of the most important ideas within the chapter. In each chapter, approximately 10–15 of the most important concepts and/or connections are highlighted in the margins.
 End-of-Chapter Problems. Numerical Problems are now organized by section number within chapters to make it easier for instructors to create assignments for specific parts of each chapter. Furthermore, a number of new Conceptual Questions and Numerical Problems have been added to the book. Numerical Problems from the previous edition have been revised.
- Introductory chapter materials. Introductory paragraphs of all chapters have been replaced by a set of three questions plus responses to those questions. This new feature makes the importance of the chapter clear to students at the outset.
- **Figures.** All figures have been revised to improve clarity. Also, for many figures additional annotation has been included to help tie concepts to the visual program.
- **Key Equations.** An end-of-chapter table that summarizes Key Equations has been added to allow students to focus on the most important of the many equations in each chapter. Equations in this table are set in red type where they appear in the body of the chapter.
- **Further Reading.** A section on Further Reading has been added to each chapter to provide references for students and instructors who would like a deeper understanding of various aspects of the chapter material.
- Guided Practice and Interactivity
 - MasteringTM Chemistry, with a new enhanced eBook, has been significantly expanded to include a wealth of new end-of-chapter problems from the fourth edition, new self-guided, adaptive Dynamic Study Modules with wrong answer feedback and remediation, and the new Pearson eBook, which is mobile friendly. Students who solve homework problems using MasteringTM Chemistry obtain immediate feedback, which greatly enhances learning associated with solving homework problems. This platform can also be used for pre-class reading quizzes linked directly to the eText that are useful in ensuring students remain current in their studies and in flipping the classroom.
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- **NEW! 66 Dynamic Study Modules** help students study effectively on their own by continuously assessing their activity and performance in real time.
- Students complete a set of questions with a unique answer format that also asks them to indicate their confidence level. Questions repeat until the student can answer them all correctly and confidently. These are available as graded assignments prior to class and are accessible on smartphones, tablets, and computers.
- **Topics** include key math skills, as well as a refresher of general chemistry concepts such as understanding matter, chemical reactions, and the periodic table and atomic structure. Topics can be added or removed to match your coverage.

In terms of chapter and section content, many changes were made. The most significant of these changes are:

- Chapter 17, on nuclear magnetic resonance (NMR), has been completely rewritten and expanded with the significant contribution of co-author Alex Angerhofer. This chapter now covers the nuclear Overhauser effect and dynamic nuclear polarization, and presents an extensive discussion of how two-dimensional NMR techniques are used to determine the structure of macromolecules in solution.
- Section 5.4 has been revised and expanded to better explain conduction in solids.
- Section 6.6 has been extensively revised to take advances in quantum computing into account.
- Section 8.4, on the origin of selection rules, has been revised and expanded to enhance student learning.
- Sections 14.5, 14.7, and 14.10 have been extensively revised and reformulated to relate electronic transitions to molecular orbitals of the initial and final states.
- Section 14.12 has been revised to reflect advances in the application of FRET to problems of chemical interest.

For those not familiar with the third edition of *Quantum Chemistry and Spectroscopy*, our approach to teaching physical chemistry begins with our target audience, undergraduate students majoring in chemistry, biochemistry, and chemical engineering, as well as many students majoring in the atmospheric sciences and the biological sciences. The following objectives outline our approach to teaching physical chemistry.

- Focus on teaching core concepts. The central principles of physical chemistry are explored by focusing on core ideas and then extending these ideas to a variety of problems. The goal is to build a solid foundation of student understanding in a limited number of areas rather than to provide a condensed encyclopedia of physical chemistry. We believe this approach teaches students how to learn and enables them to apply their newly acquired skills to master related fields.
- Illustrate the relevance of physical chemistry to the world around us. Physical chemistry becomes more relevant to a student if it is connected to the world around

us. Therefore, example problems and specific topics are tied together to help the student develop this connection. For example, topics such as scanning tunneling microscopy, quantum dots, and quantum computing are discussed and illustrated with examples from the recent chemistry literature. Every attempt is made to connect fundamental ideas to applications that could be of interest to the student.

- Link the macroscopic and atomic-level worlds. The manifestation of quantum mechanics in the macroscopic world is illustrated by discussions of the band structure of solids, atomic force microscopy, quantum mechanical calculations of thermodynamic state functions, and NMR imaging.
- Present exciting new science in the field of physical chemistry. Physical chemistry lies at the forefront of many emerging areas of modern chemical research. Heterogeneous catalysis has benefited greatly from mechanistic studies carried out using the techniques of modern surface science. Quantum computing, using the principles of superposition and entanglement, is on the verge of being a viable technology. The role of physical chemistry in these and other emerging areas is highlighted throughout the text.

- Provide a versatile online homework program with tutorials. Students who submit homework problems using MasteringTM Chemistry obtain immediate feedback, a feature that greatly enhances learning. Also, tutorials with wrong answer feedback offer students a self-paced learning environment.
- Use web-based simulations to illustrate the concepts being explored and avoid math overload. Mathematics is central to physical chemistry; however, the mathematics can distract the student from "seeing" the underlying concepts. To circumvent this problem, web-based simulations have been incorporated as end-of-chapter problems in several chapters so that the student can focus on the science and avoid a math overload. These web-based simulations can also be used by instructors during lecture. An important feature of the simulations is that each problem has been designed as an assignable exercise with a printable answer sheet that the student can submit to the instructor. Simulations, animations, and homework problem work-sheets can be accessed at www.pearsonhighered.com/advchemistry.

Effective use of *Quantum Chemistry and Spectroscopy* does not require proceeding sequentially through the chapters or including all sections. Some topics are discussed in supplemental sections, which can be omitted if they are not viewed as essential to the course. Also, many sections are sufficiently self-contained that they can be readily omitted if they do not serve the needs of the instructor and students. This textbook is constructed to be flexible to your needs. I welcome the comments of both students and instructors on how the material was used and how the presentation can be improved.

Thomas Engel University of Washington

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Many individuals have helped me to bring the text into its current form. Students have provided me with feedback directly and through the questions they have asked, which has helped me to understand how they learn. Many colleagues, including Peter Armentrout, Doug Doren, Gary Drobny, Alex Engel, Graeme Henkelman, Lewis Johnson, Tom Pratum, Bill Reinhardt, Peter Rosky, George Schatz, Michael Schick, Gabrielle Varani, and especially Wes Borden and Bruce Robinson, have been invaluable in advising me. I am also fortunate to have access to some end-of-chapter problems that were originally presented in *Physical Chemistry*, 3rd edition, by Joseph H. Noggle and in *Physical Chemistry*, 3rd edition, by Gilbert W. Castellan. The reviewers, who are listed separately, have made many suggestions for improvement, for which I am very grateful. All those involved in the production process have helped to make this book a reality through their efforts. Special thanks are due to Jim Smith, who guided the first edition, to the current editor Jeanne Zalesky, to the developmental editor Spencer Cotkin, and to Jennifer Hart and Beth Sweeten at Pearson, who have led the production process.

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A Visual, Conceptual, and Contemporary **Approach to Physical Chemistry**

NEW! Math Essentials provide a review of relevant math skills, offer "just in time" math help, and enable students to refresh math skills specifically needed in the chapter that follows.

UPDATED! Introductory paragraphs of all chapters have been replaced by a set of three questions plus responses to those questions making the relevance of the chapter clear at the outset.



MATH ESSENTIAL 2: Differentiation and Integration

Differential and integral calculus is used extensively in physical chemistry. In this unit ME2.1 The Definition and Properties we review the most relevant aspects of calculus needed to understand the chapter discussions and to solve the end-of-chapter problems.

THE DEFINITION AND PROPERTIES OF A FUNCTION

A function f is a rule that generates a value y from the value of a variable x. Mathematically, we write this as y = f(x). The set of values x over which f is defined is the do-ME2.6 Higher-Order Derivatives: main of the function. Single-valued functions have a single value of y for a given value. of x. Most functions that we will deal with in physical chemistry are single valued. However, inverse trigonometric functions and V are examples of common functions ME2.7 Definite and Indefinite that are multivalued. A function is continuous if it satisfies these three conditions:

- f(x) is defined at a $\lim f(x)$ exists
- of a Function
- ME2.2 The First Derivative of a Function
- ME2.3 The Chain Rule
- ME2.4 The Sum and Product Rules
- ME2.5 The Reciprocal Rule and the Quotient Rule
- Maxima, Minima, and Inflection Points
- Integrals

20

10

 $f(x) = x^2$

(ME2.1)

ME2.2)

APTER

Commuting and Noncommuting Operators and the Surprising **Consequences of** Entanglement

WHY is this material important?

The measurement process is different for a quantum-mechanical system than for a classical system. For a classical system, all observables can be measured simultaneously, and the precision and accuracy of the measurement is limited only by the instruments used to make the measurement. For a quantum-mechanical system, some observables can be measured simultaneously and exactly, whereas an uncertainty relation limits the degree to which other observables can be known simultaneously and exactly.

WHAT are the most important concepts and results?

Measurements carried out on a system in a superposition state change the state of the system. Two observables can be measured simultaneously and exactly only if their corresponding operators commute. Two particles can be entangled, after which their properties are no longer independent of one another. Entanglement is the basis of both teleportation and quantum computing.

WHAT would be helpful for you to review for this chapter?

It would be helpful to review the material on operators in Chapter 2.

6. **COMMUTATION RELATIONS**

In classical mechanics, a system can in principle be described completely. For instance, the position, momentum, kinetic energy, and potential energy of a mass falling in a gravitational field can be determined simultaneously at any point on its trajectory. The uncertainty in the measurements is only limited by the capabilities of the measurement technique. The values of all of these observables (and many more) can be known simultaneously. This is not generally true for a quantum-mechanical system. In the quantum world, in some cases two observables can be known simultaneously with high accuracy.



For a quantum mechanical system, it is not generally the case that the values of all observables can be known simultaneously.

Commutation Relations

6.3 The Heisenberg Uncertainty

6.4 (Supplemental Section) The

Heisenberg Uncertainty Principle

Expressed in Terms of Standard

A Thought Experiment Using a

Particle in a Three-Dimensional

Entangled States, Teleportation,

Principle

Deviations

Box

6.6

6.5 (Supplemental Section)

(Supplemental Section)

and Quantum Computers

6.2 The Stern-Gerlach Experiment

6.1

 $\lim_{x \to a} f(x) = f(a)$

ME2.2 THE FIRST DERIVATIVE OF A FUNCTION

The first derivative of a function has as its physical interpretation the slope of the function evaluated at the point of interest. In order for the first derivative to exist at a point a, the function must be continuous at x = a, and the slope of the function at x = a must be the same when approaching a from x < a and x > a. For example, the slope of the function $y = x^2$ at the point x = 1.5 is indicated by the line tangent to the curve shown in Figure ME2.1.

Mathematically, the first derivative of a function f(x) is denoted df(x)/dx. It is defined by

$$\frac{df(x)}{dx} = \lim_{h \to 0} \frac{f(x+h) - f(x)}{h}$$

The symbol f'(x) is often used in place of df(x)/dx. For the function of interest,

$$\frac{df(x)}{dx} = \lim_{h \to 0} \frac{(x+h)^2 - (x)^2}{h} = \lim_{h \to 0} \frac{2hx + h^2}{h} = \lim_{h \to 0} 2x + h = 2x \quad (ME2.3)$$

Figure ME2.1 The function $y = x^2$ plotted as a function of x. The dashed line is the tangent to the curve at x = 1.5.

In order for df(x)/dx to be defined over an interval in x, f(x) must be continuous over the interval. Next, we present rules for differentiating simple functions. Some of these functions and their derivatives are as follows:

$\frac{d(ax^n)}{dx} = anx^{n-1}, \text{ where } a \text{ is a constant and } n \text{ is any real number}$	(ME2.4)
$\frac{d(ae^x)}{dx} = ae^x, \text{where } a \text{ is a constant}$	(ME2.5)
$\frac{d \ln x}{dx} = \frac{1}{x}$	(ME2.6)

21

NEW! Concept and Connection features in each chapter present students with quick visual summaries of the core concepts within the chapter, highlighting key take aways and providing students with an easy way to review

However, in other cases, two observables have a fundamental uncertainty that cannot be eliminated through any measurement techniques. Nevertheless, as will be shown later,

105

the material.

UPDATED! All figures have been revised to improve clarity and for many figures, additional annotation has been included to help tie concepts to the visual program.



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NEW! Enhanced End-of-Chapter and Tutorial

Concentration and Le Châtelier's Principle	Part A		
Le Châtelier's principle concerns the reaction of systems at equilibrium to perturbations that disturb the equilibrium. Le Châtelier's principle states that systems will always respond to relieve the stress placed upon them. That is, they will respond in such a way so as to return to equilibrium. Chemical reactions can be displaced from their equilibrium positions by changing the concentrations of reactants or products. These changes affect the value of the reaction quotient, Q . The reaction then shifts to increase or decrease Q until it is again equal to the equilibrium constant, K .	$\label{eq:N2} \begin{split} N_2(g) + O_2(g) & \rightleftharpoons 2NO(g) \\ \text{classify each of the following actions by whether it causes a leftward shift, a rightward shift, or no shift in the direction of the reaction. \\ \\ Drag the appropriate items to their respective bins. \\ \hline Hints \end{split}$		
	Hint 1. How to approach the problem (dick to open)		

Problems offer students the chance to practice what they have learned while receiving answerspecific feedback and guidance.



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synthesis reaction, such a route is a heterogeneous catalytic reaction, using iron as a catalyst. The mechanism for this path between reactants and products is

$N_2(g) + \Box \rightarrow N_2(a)$	(6.96)
$N_2(a) + \Box \rightarrow 2N(a)$	(6.97)
$H_2(g) + 2\Box \rightarrow 2H(a)$	(6.98)
$N(a) + H(a) \rightarrow NH(a) + \square$	(6.99)
$NH(a) + H(a) \rightarrow NH_2(a) + \square$	(6.100)
$NH_2(a) + H(a) \rightarrow NH_3(a) + \square$	(6.101)
$NH_3(a) \rightarrow NH_3(g) + \Box$	(6.102)

The symbol [] denotes an ensemble of neighboring Fe atoms, also called surface sites, which are capable of forming a chemical bond with the indicated entities. The designation (a) indicates that the chemical species is adsorbed (chemically bonded) to a surface site. The enthalpy change for the overall reaction $N_2(g) + 3/2 H_2(g) \rightarrow NH_3(g)$ is the same for the mechanisms in Equations (6.91) through (6.95) and (6.96) through (6.102) because H is a state function. This is a characteristic of a catalytic reaction. A catalyst can affect the rate of the forward and backward reaction but not the position of equilibrium in a reaction system. The enthalpy diagram in Figure 6.9 shows that the



Figure 6.9

Enthalpy diagram for the homogeneous gas-phase and heterogeneous catalytic reactions for the ammonia synthesis reaction. The activation barriers for the individual steps in the surface reaction are shown. The successive steps in the reaction proceed from left to right in the diagram. See the reference to G. Ertl in Further Reading for more details. Adapted from G. Ertl, Catalysis Reviews-Science and Engineering 21 (1980): 201-223.





synthesis reaction, such a route is a heterogeneous catalytic reaction, using iron as a catalyst. The mechanism for this path between reactants and products is

$N_2(g) + \Box \rightarrow N_2(n)$	(6.96)
$N_2(a) + \Box \rightarrow 2N(a)$	(6.97)
$H_2(g)+2\Box{\longrightarrow}2H(a)$	(6.98)
$N(a) + H(a) \rightarrow NH(a) + \square$	(6.99)
$NH(a) + H(a) \rightarrow NH_2(a) + \square$	(6.100)
$NH_2(a) + H(a) \rightarrow NH_3(a) + \square$	(6.101)
$NH_3(a) \rightarrow NH_3(g) + \square$	(6.102)

The symbol Ci denotes an ensemble of neighboring Fe atoms, also called surface sates, which are capable of forming a chemical bond with the indicated entities. The designation (a) indicates that the chemical species is adsorbed (chemically bonded) to a surface site. The enthalpy change for the overall reaction $N_2(g) + 3/2 H_2(g) \rightarrow NH_3(g)$ is the same for the mechanisms in Equations (6.91) through (6.95) and (6.96) through (6.102) because H is a state function. This is a characteristic of a catalytic reaction. A catalyst can affect the rate of the forward and backward reaction but not the position of equilibrium in a reaction system. The enthalpy diagram in Figure 6.9 shows that the

Homogeneous gas-phase reactions

Heterogeneous catalytic reactions

Progress of reaction

NH-ro

-45.9 × 10³ J

-45.9 × 10³ J



178 CHAPTER 6 Overrical Equilibrium

mechanism in the synthesis of autonnia-

See Equations (6.91) through (6.95). The successive steps in the reaction proceed.

Enthalpy diagram for the reaction

from left to right in the diagram.

Figure 6.8



N(g) + 3H(c 0

(N.30) + HH3(0)

MATH ESSENTIAL 1: Units, Significant Figures, and Solving End of Chapter Problems

ME1.1 UNITS

Quantities of interest in physical chemistry such as pressure, volume, or temperature are characterized by their magnitude and their units. In this textbook, we use the SI (from the French *Le Système international d'unités*) system of units. All physical quantities can be defined in terms of the seven base units listed in Table ME1.1. For more details, see http://physics.nist.gov/cuu/Units/units.html. The definition of temperature is based on the coexistence of the solid, gaseous, and liquid phases of water at a pressure of 1 bar.

- ME1.1 Units
- ME1.2 Uncertainty and Significant Figures
- ME1.3 Solving End-of-Chapter Problems

TABLE ME1.1 Base SI Units

Base Unit	Unit	Definition of Unit
Unit of length	meter (m)	The meter is the length of the path traveled by light in vacuum during a time interval of $1/299,792,458$ of a second.
Unit of mass	kilogram (kg)	The kilogram is the unit of mass; it is equal to the mass of the platinum iridium international prototype of the kilogram kept at the International Bureau of Weights and Measures.
Unit of time	second (s)	The second is the duration of 9,192,631,770 periods of the radiation corre- sponding to the transition between the two hyperfine levels of the ground state of the cesium 133 atom.
Unit of electric current	ampere (A)	The ampere is the constant current that, if maintained in two straight parallel conductors of infinite length, is of negligible circular cross section, and if placed 1 meter apart in a vacuum would produce between these conductors a force equal to 2×10^{-7} kg m s ⁻² per meter of length. In this definition, 2 is an exact number.
Unit of thermodynamic temperature	kelvin (K)	The Kelvin is the unit of thermodynamic temperature. It is the fraction $1/273.16$ of the thermodynamic temperature of the triple point of water.
Unit of amount of substance	mole (mol)	The mole is the amount of substance of a system that contains as many elemen- tary entities as there are atoms in 0.012 kilogram of carbon 12 where 0.012 is

an exact number. When the mole is used, the elementary entities must be specified and may be atoms, molecules, ions, electrons, other particles, or specified groups of such particles.

The candela is the luminous intensity, in a given direction, of a source that emits monochromatic radiation of frequency 540. $\times 10^{12}$ hertz and that has a radiant intensity in that direction of 1/683 watt per steradian.

Quantities of interest other than the seven base quantities can be expressed in terms of the units meter, kilogram, second, ampere, kelvin, mole, and candela. The most important of these derived units, some of which have special names as indicated, are listed in Table ME1.2. A more inclusive list of derived units can be found at http://physics.nist.gov/cuu/Units/units.html.

Unit of luminous intensity

candela (cd)

TABLE ME1.2 Derived Units				
Unit	Definition	Relation to Base Units	Special Name	Abbreviation
Area	Size of a surface	m ²		m ²
Volume	Amount of three-dimensional space an object occupies	m ³		m ³
Velocity	Measure of the rate of motion	m s ⁻¹		$m s^{-1}$
Acceleration	Rate of change of velocity	$m s^{-2}$		m s ⁻²
Linear momentum	Product of mass and linear velocity of an object	kg m s ⁻¹		kg m s ⁻¹
Angular momentum	Product of the moment of inertia of a body about an axis and its <i>angular</i> velocity with respect to the same axis	kg m ² s ⁻¹		kg m ² s ⁻¹
Force	Any interaction that, when unopposed, will change the motion of an object	kg m s ⁻²	newton	N
Pressure	Force acting per unit area	kg m ⁻¹ s ⁻² N m ⁻²	pascal	Pa
Work	Product of force on an object and movement along the direction of the force	kg m ² s ^{-2}	joule	J
Kinetic energy	Energy an object possesses because of its motion	kg m ² s ^{-2}	joule	J
Potential energy	Energy an object possesses because of its position or condition	kg m ² s ^{-2}	joule	J
Power	Rate at which energy is produced or consumed	kg m ² s ^{-3}	watt	W
Mass density	Mass per unit volume	kg m ⁻³		kg m ⁻³
Radian	Angle at the center of a circle whose arc is equal in length to the radius	m/m = 1		m/m = 1
Steradian	Angle at the center of a sphere subtended by a part of the surface equal in area to the square of the radius	$m^2/m^2 = 1$		$m^2/m^2 = 1$
Frequency	Number of repeat units of a wave per unit time	s^{-1}	hertz	Hz
Electrical charge	Physical property of matter that causes it to experience an electrostatic force	As	coulomb	С
Electrical potential	Work done in moving a unit positive charge from infinity to that point	kg m ² s ⁻³ /A W/A	volt	V
Electrical resistance	Ratio of the voltage to the electric current that flows through a conductive material	$kg m^2 s^{-3}/A^2 W/A^2$	ohm	Ω

If SI units are used throughout the calculation of a quantity, the result will have SI units. For example, consider a unit analysis of the electrostatic force between two charges:

$$F = \frac{q_1 q_2}{8\pi\varepsilon_0 r^2} = \frac{C^2}{8\pi \times kg^{-1} s^4 A^2 m^{-3} \times m^2} = \frac{A^2 s^2}{8\pi \times kg^{-1} s^4 A^2 m^{-3} \times m^2}$$
$$= \frac{1}{8\pi} kg m s^{-2} = \frac{1}{8\pi} N$$

Therefore, in carrying out a calculation, it is only necessary to make sure that all quantities are expressed in SI units rather than carrying out a detailed unit analysis of the entire calculation.

ME1.2 UNCERTAINTY AND SIGNIFICANT FIGURES

In carrying out a calculation, it is important to take into account the uncertainty of the individual quantities that go into the calculation. The uncertainty is indicated by the number of significant figures. For example, the mass 1.356 g has four significant figures. The mass 0.003 g has one significant figure, and the mass 0.01200 g has four significant figures. By convention, the uncertainty of a number is ± 1 in the rightmost digit. A zero at the end of a number that is not to the right of a decimal point is not significant. For example, 150 has two significant figures, but 150. has three significant figures. Some numbers are exact and have no uncertainty. For example, 1.00×10^6 has three significant figures because the 10 and 6 are exact numbers. By definition, the mass of one atom of 12 C is exactly 12 atomic mass units.

If a calculation involves quantities with a different number of significant figures, the following rules regarding the number of significant figures in the result apply:

• In addition and subtraction, the result has the number of digits to the right of the decimal point corresponding to the number that has the smallest number of dig-

its to the right of the decimal point. For example 101 + 24.56 = 126 and 0.523 + 0.10 = 0.62.

• In multiplication or division, the result has the number of significant figures corresponding to the number with the smallest number of significant figures. For example, $3.0 \times 16.00 = 48$ and $0.05 \times 100 = 5$.

It is good practice to carry forward a sufficiently large number of significant figures in different parts of the calculation and to round off to the appropriate number of significant figures at the end.

ME1.3 SOLVING END-OF-CHAPTER PROBLEMS

Because calculations in physical chemistry often involve multiple inputs, it is useful to carry out calculations in a manner that they can be reviewed and easily corrected. For example, the input and output for the calculation of the pressure exerted by gaseous benzene with a molar volume of 2.00 L at a temperature of 595 K using the Redlich–

Kwong equation of state $P = \frac{RT}{V_m - b} - \frac{a}{\sqrt{T}} \frac{1}{V_m(V_m + b)}$ in Mathematica is shown

below. The statement in the first line clears the previous values of all listed quantities, and the semicolon after each input value suppresses its appearance in the output.

t = 595;
vm = 2.00;
a = 452;
b = .08271;
prk =
$$\frac{rt}{vm - b} - \frac{a}{\sqrt{t}} \frac{1}{vm(vm + b)}$$

t[42] = 21.3526

ou

Invoking the rules for significant figures, the final answer is P = 21.4 bar.

The same problem can be solved using Microsoft Excel as shown in the following table.

	Α	В	С	D	E	F
1	R	Т	V _m	а	b	=((A2*B2)/(C2-E2))-(D2/SQRT(B2))*(1/(C2*(C2+E2)))
2	0.08314	595	2	452	0.08271	21.35257941

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MATH ESSENTIAL 2: Differentiation and Integration

Differential and integral calculus is used extensively in physical chemistry. In this unit we review the most relevant aspects of calculus needed to understand the chapter discussions and to solve the end-of-chapter problems.

ME2.1 THE DEFINITION AND PROPERTIES **OF A FUNCTION**

A function f is a rule that generates a value y from the value of a variable x. Mathemati-

- The Definition and Properties **ME2.1** of a Function
- The First Derivative **ME2.2** of a Function
- The Chain Rule **ME2.3**
- The Sum and Product Rules **ME2.4**
- The Reciprocal Rule and the **ME2.5 Quotient Rule**

(ME2.1)

cally, we write this as y = f(x). The set of values x over which f is defined is the domain of the function. Single-valued functions have a single value of y for a given value of x. Most functions that we will deal with in physical chemistry are single valued. However, inverse trigonometric functions and $\sqrt{}$ are examples of common functions that are multivalued. A function is continuous if it satisfies these three conditions:

> f(x) is defined at a $\lim_{x \to a} f(x) \text{ exists}$ $\lim_{x \to a} f(x) = f(a)$

Higher-Order Derivatives: **ME2.6** Maxima, Minima, and Inflection Points

ME2.7 Definite and Indefinite Integrals

ME2.2 THE FIRST DERIVATIVE OF A FUNCTION

The first derivative of a function has as its physical interpretation the slope of the function evaluated at the point of interest. In order for the first derivative to exist at a point a, the function must be continuous at x = a, and the slope of the function at x = a must be the same when approaching a from x < a and x > a. For example, the slope of the function $y = x^2$ at the point x = 1.5 is indicated by the line tangent to the curve shown in Figure ME2.1.

Mathematically, the first derivative of a function f(x) is denoted df(x)/dx. It is defined by

$$\frac{df(x)}{dx} = \lim_{h \to 0} \frac{f(x+h) - f(x)}{h}$$



The symbol f'(x) is often used in place of df(x)/dx. For the function of interest,

$$\frac{df(x)}{dx} = \lim_{h \to 0} \frac{(x+h)^2 - (x)^2}{h} = \lim_{h \to 0} \frac{2hx + h^2}{h} = \lim_{h \to 0} 2x + h = 2x \quad (\text{ME2.3})$$

In order for df(x)/dx to be defined over an interval in x, f(x) must be continuous over the interval. Next, we present rules for differentiating simple functions. Some of these functions and their derivatives are as follows:

$$\frac{d(ax^n)}{dx} = anx^{n-1}, \text{ where } a \text{ is a constant and } n \text{ is any real number} \quad (ME2.4)$$

$$\frac{d(ae^x)}{dx} = ae^x, \text{ where } a \text{ is a constant} \quad (ME2.5)$$

$$\frac{d\ln x}{dx} = \frac{1}{x} \quad (ME2.6)$$



Figure ME2.1 The function $y = x^2$ plotted as a function of x. The dashed line is the tangent to the curve at x = 1.5.

6 MATH ESSENTIAL 2 Differentiation and Integration

$$\frac{d(a \sin x)}{dx} = a \cos x, \text{ where } a \text{ is a constant}$$
(ME2.7)
$$\frac{d(a \cos x)}{dx} = -a \sin x, \text{ where } a \text{ is a constant}$$
(ME2.8)

ME2.3 THE CHAIN RULE

In this section, we deal with the differentiation of more complicated functions. Suppose that y = f(u) and u = g(x). From the previous section, we know how to calculate df(u)/du. But how do we calculate df(u)/dx? The answer to this question is stated as the chain rule:

$$\frac{df(u)}{dx} = \frac{df(u)}{du}\frac{du}{dx}$$
 (ME2.9)

Several examples illustrating the chain rule follow:

$$\frac{d\sin(3x)}{dx} = \frac{d\sin(3x)}{d(3x)} \frac{d(3x)}{dx} = 3\cos(3x) \quad (\text{ME2.10})$$

$$\frac{d\ln(x^2)}{dx} = \frac{d\ln(x^2)}{d(x^2)} \frac{d(x^2)}{dx} = \frac{2x}{x^2} = \frac{2}{x} \quad (\text{ME2.11})$$

$$\frac{x + \frac{1}{x}}{dx} = \frac{d\left(x + \frac{1}{x}\right)^{-4}}{d\left(x + \frac{1}{x}\right)} \frac{d\left(x + \frac{1}{x}\right)}{dx} = -4\left(x + \frac{1}{x}\right)^{-5}\left(1 - \frac{1}{x^2}\right) \quad (\text{ME2.12})$$

$$\frac{p(ax^2)}{dx} = \frac{d\exp(ax^2)}{d(ax^2)} \frac{d(ax^2)}{dx} = 2ax\exp(ax^2), \quad \text{where } a \text{ is a constant (ME2.13)}$$

Additional examples of use of the chain rule include:

$$d(\sqrt{3}x^{4/3})/dx = (4/3)\sqrt{3}x^{1/3}$$
 (ME2.14)

$$d(5e^{3\sqrt{2}x})/dx = 15\sqrt{2}e^{3\sqrt{2}x}$$
 (ME2.15)

$$\frac{d(4\sin kx)}{dr} = 4k\cos x, \text{ where } k \text{ is a constant}$$

$$\frac{d(\sqrt{3}\cos 2\pi x)}{dx} = -2\sqrt{3}\pi\sin 2\pi x \qquad \text{(ME2.16)}$$

ME2.4 THE SUM AND PRODUCT RULES

Two useful rules in evaluating the derivative of a function that is itself the sum or product of two functions are as follows:

$$\frac{d[f(x) + g(x)]}{dx} = \frac{df(x)}{dx} + \frac{dg(x)}{dx}$$
(ME2.17)

For example,

 $d \exp($

$$\frac{d(x^3 + \sin x)}{dx} = \frac{dx^3}{dx} + \frac{d\sin x}{dx} = 3x^2 + \cos x$$
 (ME2.18)

ME2.6 HIGHER-ORDER DERIVATIVES: MAXIMA, MINIMA, AND INFLECTION POINTS 7

(ME2.21)

$$\frac{d[f(x)g(x)]}{dx} = g(x)\frac{df(x)}{dx} + f(x)\frac{dg(x)}{dx}$$
(ME2.19)

For example,

$$\frac{\left[\sin(x)\cos(x)\right]}{dx} = \cos(x)\frac{d\sin(x)}{dx} + \sin(x)\frac{d\cos(x)}{dx}$$
$$= \cos^2 x - \sin^2 x \qquad (ME2.20)$$

ME2.5 THE RECIPROCAL RULE AND THE QUOTIENT RULE

How is the first derivative calculated if the function to be differentiated does not have a simple form such as those listed in the preceding section? In many cases, the derivative is found by using the product rule and the quotient rule given by

$$\frac{d\left(\frac{1}{f(x)}\right)}{dx} = -\frac{1}{[f(x)]^2} \frac{df(x)}{dx}$$

For example,

$$\frac{d\left(\frac{1}{\sin x}\right)}{dx} = -\frac{1}{\sin^2 x} \frac{d\sin x}{dx} = \frac{-\cos x}{\sin^2 x}$$
(ME2.22)
$$\frac{d\left[\frac{f(x)}{g(x)}\right]}{dx} = \frac{g(x)\frac{df(x)}{dx} - f(x)\frac{dg(x)}{dx}}{[g(x)]^2}$$
(ME2.23)

For example,

$$\frac{d\left(\frac{x^2}{\sin x}\right)}{dx} = \frac{2x\sin x - x^2\cos x}{\sin^2 x}$$
 (ME2.24)

A function f(x) can have higher-order derivatives in addition to the first derivative.

The second derivative of a function is the slope of a graph of the slope of the function versus the variable. In order for the second derivative to exist, the first derivative must be continuous at the point of interest. Mathematically,

$$\frac{d^2 f(x)}{dx^2} = \frac{d}{dx} \left(\frac{df(x)}{dx} \right)$$
(ME2.25)

For example,

$$\frac{d^2 \exp(ax^2)}{dx^2} = \frac{d}{dx} \left[\frac{d \exp(ax^2)}{dx} \right] = \frac{d[2ax \exp(ax^2)]}{dx}$$
$$= 2a \exp(ax^2) + 4a^2x^2 \exp(ax^2), \text{ where } a \text{ is a constant} \quad (\text{ME2.26})$$

The symbol f''(x) is often used in place of $d^2f(x)/dx^2$. If a function f(x) has a concave upward shape (\cup) at the point of interest, its first derivative is increasing with x and therefore f''(x) > 0. If a function f(x) has a concave downward shape (\cap) at the point of interest, f''(x) < 0.



Figure ME2.2 $f(x) = x^3 - 5x$ plotted as a function of x. Note that it has a maximum and a minimum in the range shown.



The second derivative is useful in identifying where a function has its minimum or maximum value within a range of the variable, as shown next. Because the first derivative is zero at a local maximum or minimum, df(x)/dx = 0 at the values x_{max} and x_{min} . Consider the function $f(x) = x^3 - 5x$ shown in Figure ME2.2 over the range $-2.5 \leq x \leq 2.5.$

By taking the derivative of this function and setting it equal to zero, we find the minima and maxima of this function in the range

$$\frac{d(x^3 - 5x)}{dx} = 3x^2 - 5 = 0$$
, which has the solutions $x = \pm \sqrt{\frac{5}{3}} = 1.29$

The maxima and minima can also be determined by graphing the derivative and finding the zero crossings, as shown in Figure ME2.3.

Graphing the function clearly shows that the function has one maximum and one minimum in the range specified. Which criterion can be used to distinguish between these extrema if the function is not graphed? The sign of the second derivative, evaluated at the point for which the first derivative is zero, can be used to distinguish between a maximum and a minimum:

 $d^2f(r) = \int df(r) df(r)$



Figure ME2.3

The first derivative of the function shown in the previous figure as a function of x.

$$\frac{d}{dx^2} \frac{f(x)}{dx^2} = \frac{d}{dx} \left[\frac{df(x)}{dx} \right] < 0 \quad \text{for a maximum}$$
$$\frac{d^2 f(x)}{dx^2} = \frac{d}{dx} \left[\frac{df(x)}{dx} \right] > 0 \quad \text{for a minimum} \quad (\text{ME2.27})$$

We return to the function graphed earlier and calculate the second derivative:

$$\frac{d^2(x^3 - 5x)}{dx^2} = \frac{d}{dx} \left[\frac{d(x^3 - 5x)}{dx} \right] = \frac{d(3x^2 - 5)}{dx} = 6x$$
 (ME2.28)

By evaluating

$$\frac{d^2 f(x)}{dx^2} \quad \text{at } x = \pm \sqrt{\frac{5}{3}} = \pm 1.291$$
 (ME2.29)



we see that x = 1.291 corresponds to the minimum, and x = -1.291 corresponds to the maximum.

If a function has an inflection point in the interval of interest, then

$$\frac{df(x)}{dx} = 0$$
 and $\frac{d^2f(x)}{dx^2} = 0$ (ME2.30)

An example for an inflection point is x = 0 for $f(x) = x^3$. A graph of this function in the interval $-2 \le x \le 2$ is shown in Figure ME2.4. In this case,

Figure ME2.4 $f(x) = x^3$ plotted as a function of x. The value of x at which the tangent to the curve is horizontal is called an inflection point.

$$\frac{dx^3}{dx} = 3x^2 = 0$$
 at $x = 0$ and $\frac{d^2(x^3)}{dx^2} = 6x = 0$ at $x = 0$ (ME2.31)

ME2.7 DEFINITE AND INDEFINITE INTEGRALS

In many areas of physical chemistry, the property of interest is the integral of a function over an interval in the variable of interest. For example, the work done in expanding an ideal gas from the initial volume V_i to the final volume V_f is the integral of the external pressure P_{ext} over the volume

$$w = -\int_{x_i}^{x_f} P_{\text{external}} A dx = -\int_{V_i}^{V_f} P_{\text{external}} dV \qquad (\text{ME2.32})$$

Equation ME2.13 defines a definite integral in which the lower and upper limits of integration are given. Geometrically, the integral of a function over an interval is the area

ME2.7 DEFINITE AND INDEFINITE INTEGRALS 9

Figure ME2.5

rectangles.

under the curve describing the function. For example, the integral $\int_{-2.3}^{2.3} (x^3 - 5x) dx$ is the sum of the areas of the individual rectangles in Figure ME2.5 in the limit within which the width of the rectangles approaches zero. If the rectangles lie below the zero line, the incremental area is negative; if the rectangles lie above the zero line, the incremental area is positive. In this case, the total area is zero because the total negative area equals the total positive area.

The integral can also be understood as an antiderivative. From this point of view, the integral symbol is defined by the relation

$$f(x) = \int \frac{df(x)}{dx} dx$$

(ME2.33)

and the function that appears under the integral sign is called the integrand. Interpreting the integral in terms of area, we evaluate a definite integral, and the interval over which the integration occurs is specified. The interval is not specified for an indefinite integral.

The geometrical interpretation is often useful in obtaining the value of a definite integral from experimental data when the functional form of the integrand is not known. For our purposes, the interpretation of the integral as an antiderivative is more useful. The value of the indefinite integral $\int (x^3 - 5x) dx$ is that function which, when differ-



The integral of a function over a given

range corresponds to the area under

the curve. The area under the curve

is shown approximately by the green

entiated, gives the integrand. Using the rules for differentiation discussed earlier, you can verify that

$$\int (x^3 - 5x)dx = \frac{x^4}{4} - \frac{5x^2}{2} + C$$
 (ME2.34)

Note the constant that appears in the evaluation of every indefinite integral. By differentiating the function obtained upon integration, you should convince yourself that any constant will lead to the same integrand. In contrast, a definite integral has no constant of integration. If we evaluate the definite integral

$$\int_{-2.3}^{2.3} (x^3 - 5x) dx = \left(\frac{x^4}{4} - \frac{5x^2}{2} + C\right)_{x=2.3} - \left(\frac{x^4}{4} - \frac{5x^2}{2} + C\right)_{x=-2.3}$$
(ME2.35)

we see that the constant of integration cancels. The function obtained upon integration is an even function of x, and $\int_{-2,3}^{2,3} (x^3 - 5x) dx = 0$, just as we saw in the geometric interpretation of the integral.

Some indefinite integrals are encountered so often by students of physical chemistry that they become second nature and are recalled at will. These integrals are directly related to the derivatives discussed in Sections ME2.2-ME2.5 and include the following:

$$\int df(x) = f(x) + C$$

$$\int x^n dx = \frac{x^{n+1}}{n+1} + C$$

$$\int \frac{dx}{x} = \ln x + C$$

$$\int e^{ax} = \frac{e^{ax}}{a} + C, \text{ where } a \text{ is a constant}$$

$$\int \sin x \, dx = -\cos x + C$$

$$\int \cos x \, dx = \sin x + C$$
(ME2.39)

Although students will no doubt be able to recall the most commonly used integrals, the primary tool for the physical chemist in evaluating integrals is a good set of integral tables. Some commonly encountered integrals are listed below. The first group presents indefinite integrals.

$$\int (\sin ax)dx = -\frac{1}{a}\cos ax + C$$
 (ME2.40)

$$\int (\cos ax)dx = \frac{1}{a}\sin ax + C$$
 (ME2.41)

$$\int (\sin^2 ax) dx = \frac{1}{2}x - \frac{1}{4a}\sin 2ax + C$$
 (ME2.42)

$$\int (\cos^2 ax) dx = \frac{1}{2}x + \frac{1}{4a} \sin 2ax + C$$
 (ME2.43)

$$\int x \sin^2 bx dx = \frac{x^2}{4} - \frac{\cos 2bx}{8b^2} - \frac{x \sin 2bx}{4b} + C$$
 (ME2.44)

$$\int x \cos^2 bx dx = \frac{x^2}{4} + \frac{\cos 2bx}{8b^2} + \frac{x \sin 2bx}{4b} + C$$
(ME2.45)
$$\int (x^2 \sin^2 ax) dx = \frac{1}{6} x^3 - \left(\frac{1}{4a} x^2 - \frac{1}{8a^3}\right) \sin 2ax - \frac{1}{4a^2} x \cos 2ax + C$$
(ME2.46)

$$\int (x^2 \cos^2 ax) dx = \frac{1}{6} x^3 + \left(\frac{1}{4a} x^2 - \frac{1}{8a^3}\right) \sin 2ax + \frac{1}{4a^2} x \cos 2ax + C$$
(ME2.47)

$$\int (\sin^3 ax) dx = -\frac{3\cos ax}{4a} + \frac{\cos 3ax}{12a} + C$$
 (ME2.48)

$$\int (x^2 \sin ax) dx = \frac{(a^2 x^2 - 2) \cos ax}{a^3} + \frac{2x \sin ax}{a^2} + C$$
(ME2.49)

$$\int (x^2 \cos ax) dx = \frac{(a^2 x^2 - 2) \sin ax}{a^3} + \frac{2x \cos ax}{a^2} + C$$
(ME2.50)

$$\int x^m e^{ax} dx = \frac{x^m e^{ax}}{a} - \frac{m}{a} \int x^{m-1} e^{ax} dx + C$$
(ME2.51)

$$\int \frac{e^{ax}}{x^m} dx = -\frac{1}{m-1} \frac{e^{ax}}{x^{m-1}} + \frac{a}{m-1} \int \frac{e^{ax}}{x^{m-1}} dx + C$$
(ME2.52)

$$\int r^2 e^{-ar} dr = -\frac{e^{-ar}}{a^3} (a^2 r^2 + 2ar + 2) + C$$
(ME2.53)

The following group includes definite integrals.

$$\int_{0}^{a} \sin\left(\frac{n\pi x}{a}\right) \times \sin\left(\frac{m\pi x}{a}\right) dx = \int_{0}^{a} \cos\left(\frac{n\pi x}{a}\right) \times \cos\left(\frac{m\pi x}{a}\right) dx = \frac{a}{2}\delta_{mn}$$

where δ_{mn} is one if m = n and 0 if $m \neq n$ (ME2.54)

$$\int_{0}^{a} \left[\sin\left(\frac{n\pi x}{a}\right) \right] \times \left[\cos\left(\frac{n\pi x}{a}\right) \right] dx = 0$$
 (ME2.55)

$$\int_{0}^{\pi} \sin^{2} mx \, dx = \int_{0}^{\pi} \cos^{2} mx \, dx = \frac{\pi}{2}$$

$$\int_{0}^{\infty} \frac{\sin x}{\sqrt{x}} dx = \int_{0}^{\infty} \frac{\cos x}{\sqrt{x}} dx = \sqrt{\frac{\pi}{2}}$$

(ME2.56)

(ME2.57)

(ME2.58)

$$\int_{0}^{\infty} x^{n} e^{-ax} dx = \frac{n!}{a^{n+1}} (a > 0, n \text{ positive integer})$$

$$\int_{0}^{\infty} x^{2n} e^{-ax^2} dx = \frac{1 \cdot 3 \cdot 5 \cdots (2n-1)}{2^{n+1}a^n} \sqrt{\frac{\pi}{a}} (a > 0, n \text{ positive integer}) \quad (\text{ME2.59})$$

$$\int_{0}^{\infty} x^{2n+1} e^{-ax^2} dx = \frac{n!}{2 a^{n+1}} (a > 0, n \text{ positive integer})$$

$$\int_{0}^{\infty} e^{-ax^2} dx = \left(\frac{\pi}{4a}\right)^{1/2}$$

(ME2.61)

Commercially available software such as MathematicaTM, MapleTM, MatlabTM, and MathCadTM can evaluate both definite and indefinite integrals.

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MATH ESSENTIAL 3: Partial Derivatives

Many quantities that we will encounter in physical chemistry are functions of several variables. In that case, we have to reformulate differential calculus to take several variables into account. We define the partial derivative with respect to a specific variable just as we did in Section ME2.2 by treating all other variables indicated by subscripts as constants. For example, consider 1 mol of an ideal gas for which

$$P = f(V, T) = \frac{RT}{V}$$
(ME3.1)

Note that *P* can be written as a function of the two variables *V* and *T*. The change in *P*

resulting from a change in V or T is proportional to the following partial derivatives:

$$\left(\frac{\partial P}{\partial V}\right)_{T} = \lim_{\Delta V \to 0} \frac{P(V + \Delta V, T) - P(V, T)}{\Delta V} = \lim_{\Delta V \to 0} \frac{R}{\Delta V} \left(\frac{1}{V + \Delta V} - \frac{1}{V}\right)$$

$$= \lim_{\Delta V \to 0} \frac{R}{\Delta V} \left(\frac{-\Delta V}{V^{2} + V\Delta V}\right) = -\frac{RT}{V^{2}}$$

$$\left(\frac{\partial P}{\partial T}\right)_{V} = \lim_{\Delta T \to 0} \frac{P(V, T + \Delta T) - P(V, T)}{\Delta T} = \lim_{\Delta T \to 0} \frac{1}{\Delta T} \left[\frac{R(T + \Delta T)}{V} - \frac{RT}{V}\right]$$

$$= \frac{R}{V}$$
(ME3.2)

The subscript y in $(\partial f/\partial x)_y$ indicates that y is being held constant in the differentiation of the function f with respect to x. The partial derivatives in Equation (ME3.2) allow one to determine how a function changes when all of the variables change. For example, what is the change in P if the values of T and V both change? In this case, P changes to P + dP where

$$dP = \left(\frac{\partial P}{\partial T}\right)_V dT + \left(\frac{\partial P}{\partial V}\right)_T dV = \frac{R}{V}dT - \frac{RT}{V^2}dV \qquad (\text{ME3.3})$$

Consider the following practical illustration of Equation (ME3.3). You are



on a hill and have determined your altitude above sea level. How much will the altitude (denoted z) change if you move a small distance east (denoted by x) and north (denoted by y)? The change in z as you move east is the slope of the hill in that direction, $(\partial z/\partial x)_y$, multiplied by the distance dx that you move. A similar expression can be written for the change in altitude as you move north. Therefore, the total change in altitude is the sum of these two changes or

$$dz = \left(\frac{\partial z}{\partial x}\right)_y dx + \left(\frac{\partial z}{\partial y}\right)_x dy$$

The first term is the slope of the hill in the x direction, and the second term is the slope in the y direction. These changes in the height z as you move first along the x direction and then along the y direction are illustrated in Figure ME3.1. Because the slope of the hill is a function of x and y, this expression for dz is only valid for small changes dx and dy. Otherwise, higher-order derivatives need to be considered.



(ME3.4) Figure ME3.1

Able Hill contour plot and cross section. The cross section (bottom) is constructed from the contour map (top). Starting at the point labeled z on the hill, you first move in the positive x direction and then along the y direction. If dx and dy are sufficiently small, the change in height dz is

given by
$$dz = \left(\frac{\partial z}{\partial x}\right)_y dx + \left(\frac{\partial z}{\partial y}\right)_x dy$$